

Children's ICF/IID

Single Point of Entry and Level of Care Review

Cumulative and 3rd Quarterly Report FY21

Census

The Family Resource Consultant (FRC) continues to work closely with the Director of Social Work at St. Mary's and the Social Worker at Holiday House to maintain accurate information regarding the census. In the past timely communication regarding admissions presented a challenge to maintaining accurate census information, however since the implementation of Single Point of Entry, the FRC has been better able to track admissions and ask for status updates for pending applications.

During the third quarter of FY21 (January 1, 2021 – March 31, 2021), there were 0 admissions and 0 discharge at Holiday House leaving the census at **28**. St. Mary's Home had **4** admissions and **3** discharges bringing the census to **83**. The total census as of December 31, 2020 was **111**.

Table 1: St. Mary's and Holiday House Census

Date	Holiday House	St. Mary's	Total
January 1, 2017	26	82	108
April 1, 2017	27	84	111
July 1, 2017	28	83	111
September 30, 2017	26	85	111
December 31, 2017	26	84	110
March 31, 2018	27	86	113
June 30, 2018	28	85	113
September 30, 2018	27	86	113
December 31, 2018	27	84	111
March 31, 2019	28	86	114
June 30, 2019	28	88	116
September 30, 2019	27	86	113
December 31, 2019	28	83	111
March 31, 2020	28	82	110
June 30, 2020	28	86	114
September 30, 2020	28	83	111
December 31, 2020	28	82	110
March 31, 2021	28	83	111

Table 2: Census Graph

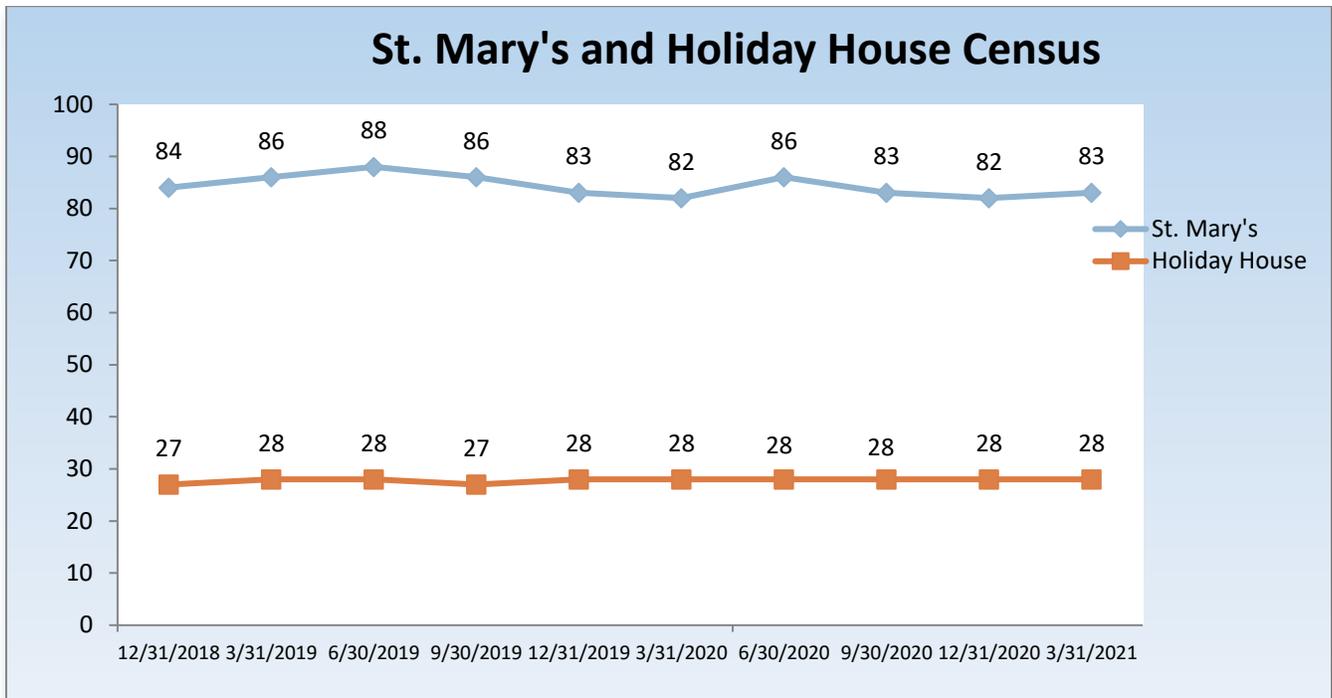


Table 3: Cumulative and Quarterly ICF Data

1st Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-9/30/2020)	Total Children Discharged to date (1/1/17-9/30/2020)	Deaths	Census as of end of 1 st Quarter FY21
108	60	53	4	111
1st Quarter FY21 (July 1, 2020 – September 30, 2020)				
Census July 1, 2020	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
114	2	4	0	6

2nd Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-12/31/2020)	Total Children Discharged to date (1/1/17-12/31/2020)	Deaths	Census as of end of 2 nd Quarter FY21
108	60	53	4	111

108	64	57	5	110
2nd Quarter FY21 (October 1, 2020 – December 31, 2020)				
Census October 1, 2020	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
111	4	5	35	9

3rd Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-3/31/2021)	Total Children Discharged to date (1/1/17-3/31/2021)	Deaths	Census as of end of 3rd Quarter FY21
108	68	60	5	111
3rd Quarter FY21 (January 1, 2021 – March 31, 2021)				
Census Jan 1, 2021	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
110	4	3	43	6

*LOC reviews were completed by the facilities.

* Discharges under 2nd Quarter review incorporated the death listed under cumulative data

**Single Point of Entry (SPE) and Virginia Individual Developmental Disability Eligibility Survey
(VIDES)**

The Single Point of Entry process for admission into Intermediate Care Facilities was implemented effective May 1, 2018.

Table 4: SPE from 5.1.2018

SPE (Indicator 7)

Number of Children from May 2018	Diverted	Admitted	Denied Admission	Pending	Discharged	Date of LOC Review
1		1/31/2020				Nov 2020
2	May 2018					
3		10/18/18				Sept 2019

4		8/9/18				July 2019
5	Dec 2018					
6			X			
7		12/3/18			9/16/19	Nov 2019
8		9/11/18			3/20/19	Aug2019
9		7/10/18				June 2019
10		8/14/18				June 2019
11	Feb 2019					
12		11/26/18				Sept 2019
13		11/12/18				Sept 2019
14		3/18/19				Feb 2020
15		12/27/18			2/17/19	Oct 2019
16				Passed away 7/4/2020		
17		12/20/18			3/4/2020	CVTC
18		1/15/19				Nov 2019
19		3/5/19				Jan 2020
20			X			
21		3/19/19				Jan 2020
22		2/18/19				Dec 2019
23		3/26/19				Jan 2020
Number of Children from May 2018	Diverted	Admitted	Denied Admission	Pending	Discharged	Date of LOC Review
24		12/12/19				Nov 2020
25		4/8/19			3/21/2020	Feb 2020
26		5/28/19				Mar 2020
27		7/16/19				May 2020
28	7/26/19					
29		7/26/19				June 2020
30		9/15/2020				July 2020
31				X		
32	11/18/19					
33		9/24/19			10/14/19	July 2020
34		10/1/19				July 2020
35		11/12/19				Sept 2020
36		11/26/19		Passed away 8/25/2020		Sept 2020
37		12/13/19				Nov 2020
38		4/1/2020				Jan 2021
39		4/14/2020				Feb2021
40		3/6/2020				Jan 2021
41		5/13/2029				Mar 2021
42		1/21/2020				Nov 2020
43		6/15/2020				April 2020
44	Tuned 22 on 5/20/2020			Pending Transition to an Adult ICF		
45		5/1/2020				Feb 2021
46		9/15/2020				July 2021

47		10/7/2020				Aug 2021
48		9/22/2020				July 2021
49		12/9/2020				Oct 2021
50		12/2/2020				Oct 2021
51	X					
52		12/2/2020			1/5/2021	Oct 2021
53		3/2/2021				Jan 2022
54				X		
55		3/9/2021				Jan 2022
56		3/16/2021				Jan 2022
57				X		
58			X			
59		1/26/2021				Nov 2021

Table 5: VIDES Cumulative and Quarterly Data

VIDES (Indicator 7)

1st Quarter

Cumulative Data-VIDES (May 1, 2018-September 30, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
48	5	3	36	48	2
1st Quarter FY21-VIDES (July 1, 2020-September 30, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
3	0	1	2	3	0
Additional Data					
Total Remaining in ICF		Total Discharged/Death prior to Annual LOC Review		Total remaining in the ICF at the time of next Annual Level of Care Review	
29		5		6	

2nd Quarter

Cumulative Data-VIDES (May 1, 2018-December 31, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
52	6	1	40	51	2
2nd Quarter FY21-VIDES (October 1, 2020-December 31, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
4	1	0	4	3	0
Additional Data					

Total Remaining in ICF	Total Discharged/Death prior to Annual LOC Review	Total remaining in the ICF at the time of next Annual Level of Care Review
33	5	8

3rd Quarter

Cumulative Data-VIDES (May 1, 2018-March 31, 2021)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
59	6	3	44	58	3

3 rd Quarter FY21-VIDES (January 1, 2021-March 31, 2021)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
7	0	2	4	7	1

Additional Data		
Total Remaining in ICF	Total Discharged/Death prior to Annual LOC Review	Total remaining in the ICF at the time of next Annual Level of Care Review
36	6	12

Single Point of Entry and VIDES Summary

During the 1st quarter of FY21, **8** VIDES were completed; **3** children and **5** for adults. (The below information pertains to children unless otherwise specified)

- VIDES process: Three families received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all three families. (Indicator 7)
- Twenty Community Transition Guides were resent/emailed to the families of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Fifteen of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Fifteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)
- Two children in the ten and under category were discharged this quarter. St. Mary's Home currently has one child in active discharge status. (Indicator 12)
- The families of children 10 and under were contacted this quarter. Seven calls were completed and fifteen messages were left. (Indicator 12)
- Fourteen annual contacts were completed with families to develop a family outreach plan. (Indicator 13)
- Three Admission Awareness Letter and five Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- Eight families were linked to the VCU Family to Family Network of Virginia this quarter. Two families have been linked to a family navigator. (Indicator 13)
- Two adults were referred to RST to review for more integrated options.
- Three adults are pending an RST referral (waiting for the ICF to be licensed).

During the 2nd quarter of FY21, **7** VIDES were completed; **3** adults and **4** children. (The below information pertains to children unless otherwise specified)

- VIDES process: Four families received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all four families. (Indicator 7)
- Ten Community Transition Guides were resent/emailed to the families of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Twenty-three of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Thirteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)
- One child in the ten and under category was discharged this quarter. (Indicator 12)
- The families of children 10 and under will be contacted in Jan 2021 for the quarterly calls. (Indicator 12)
- Seven annual contacts were completed with families to develop a family outreach plan. (Indicator 13)
- Three Admission Awareness Letter and five Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- Eight families were linked to the VCU Family to Family Network of Virginia this quarter. (Indicator 13)

During the 3rd quarter of FY21, **8 VIDES** were completed; **7 children** and **1 adult**. (The below information pertains to children unless otherwise specified)

- VIDES process: Seven families/legal guardians received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all seven families/legal guardians. (Indicator 7)
- FRC emailed/mailed 108 Community Transition Guides to the families/legal guardians of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Twenty of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Sixteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)
- One child in the ten and under category was discharged this quarter. (Indicator 12)
- The families of children 10 and under will be contacted in April 2021 for the quarterly calls. (Indicator 12)
- Two annual contact were completed with families to develop a family outreach plan. (Indicator 13)
- Seven Admission Awareness Letter and two Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- One family was linked to the VCU Family to Family Network of Virginia this quarter. It was a previous referral that was not forwarded. According to VCU, the referral was closed after receiving no response from the parent. (Indicator 13)
- A total of **3 adult VIDES Requests** were received this quarter. FRC only processed **1 request** because the other **2 families** did not receive informed consent and decided to look for more integrated options in the community.

There have been 6 diversions since May 1, 2018:

- Age 15- parent decided to take the individual home. Children's Hospital of King's Daughter assisted with securing needed equipment so that the individual could rehab at home
- Age 7- parent decided to keep the individual at home. Case management services were added
- Age 18- Individual received a CL slot in Dec 2018
- Age 18- Placed in foster care services with Barry Robinson. Individual transitioned home with services at age 18
- Age 14- decided to maintain CL waiver slot, exploring sponsored residential services

- Age 17- DBHDS provided a CL slot, exploring sponsor residential services. (2nd Quarter FY21)

Level of Care Reviews

During the third quarter of FY21 (January 1, 2021 – March 31, 2021), **43** Modified Level of Care Reviews (15 for Holiday House and 20 for St. Mary’s Home) were completed by the facilities. Holiday House has completed all reviews for the 2020. St. Mary’s Home is currently working on the last **5** reviews for 2020. We remain on target to complete all of 2020 LOC reviews in April 2021. Site reviews will resume in April 2021 pending no major Covid-19 outbreaks in at St. Mary’s Home. Holiday House is currently not ready to resume on site reviews. Issues noted during the review included lack of annual social history, a late quarterly report, no signature page for the Plan of Care, and no beneficiary signature.

Table 6: Completed Level of Care Reviews

1st Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary's	0	0	0	0	0	0	0	0	0
Holiday House	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0

2nd Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary's	20	20	0	14	6	14	6	20	0
Holiday House	15	15	0	15	0	15	0	15	0
Total	35	35	0	29	6	29	6	35	0

3rd Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary's	29	29	0	27	2	26	3	29	0
Holiday House	14	14	0	14	0	14	0	14	0
Total	43	43	0	41	2	40	3	43	0

Level of Care Review Schedule

Level of Care (LOC) Reviews are traditionally completed approximately 60 days prior to the beneficiary’s annual review. This allows FRC to provide feedback regarding areas of non-compliance from the previous year so that appropriate steps can be taken to address them as the ISP is being developed for the coming plan year. Since Covid, this schedule has not been followed due to ongoing

St. Mary's	22	38	18	5	37	46	8	35	40
Holiday House	1	14	12	1	21	7	0	13	15
Total	23	52	30	6	58	53	8	48	55

2nd Quarter

Facility	Age			Aging Out	Gender		Length of Stay		
	0-10 Years	11-17 Years	18-20 Years	21-22 Years	Male	Female	0 months-6 Months	7 months-5 Years	Greater than 5 Years
St. Mary's	23	40	13	6	37	45	8	35	39
Holiday House	1	13	13	1	21	7	0	12	16
Total	23	52	30	6	58	53	8	48	55

3rd Quarter

Facility	Age			Aging Out	Gender		Length of Stay		
	0-10 Years	11-17 Years	18-20 Years	21-22 Years	Male	Female	0 months-6 Months	7 months-5 Years	Greater than 5 Years
St. Mary's	23	42	13	5	38	45	9	36	38
Holiday House	1	12	12	3	21	7	0	12	16
Total	24	54	25	8	59	52	9	48	54

Definitions:

Admission- admission occurs when the requesting facility completes their screening and review process and the individual moves into the facility.

Diversion- diversion occurs when the Substitute Decision Maker (SDM) and /or Legal Guardian (LG) agrees to explore and consequently selects more integrative options in the community.

Denial- denial occurs when the requesting facility completes the screening/review process and it is determined that the facility is unable to adequately meet the individual's needs.

Pending- pending status occurs when the SDM or LG declines to explore more integrated options and the facility is completing the screening/review process.